

Confidential Investor Information Worksheet

Individual:

Spouse/Joint Tenant

Dependents

Please provide name and date of birth

Name	DOB	Name	DOB
Name	DOB	Name	DOB
Name	DOB	Name	DOB

Asset Analysis

Ownership Codes: **I** (Individual) **S** (Spouse) **O** (Other) **JTWROS** (Joint with rights of survivorship) **TE** (Tenants by the Entirety) **TC** (Tenants in Common)

Current Investments	<i>Assets</i>	<i>Liabilities</i>	<i>Ownership</i>	<i>Financial Institution</i>
Savings (Money Market, Passbook, Checking)				
Certificates of Deposit				
Mutual Funds (non-qualified)				
Stocks, Bonds, UITs				
Annuities				
IRA, SEP/IRA, SIMPLE IRA				
ROTH IRA				
403(b) and 401(k)				
Pension/Profit Sharing Plan				

Asset Analysis, continued Ownership Codes: I (Individual) S (Spouse) O (Other) JTWROS (Joint with rights of survivorship) TE (Tenants by the Entirety) TC (Tenants in Common)

Current Investments, (continued)	<i>Assets</i>	<i>Liabilities</i>	<i>Ownership</i>	<i>Financial Institution</i>	
Residence					
Vacation Home					
Investment Property					
Automobile					
Collectibles					
Loans					
Liabilities – Credit Card Balance					
Total					

Insurance	<i>Ownership</i>	<i>Type</i>	<i>Company Name</i>	<i>Benefit Amount/Cash Value</i>	<i>Annual Premium</i>
Life	Individual				
	Spouse				
	Other				
Disability	Individual				
	Spouse				
	Other				
Long-Term Care	Individual				
	Spouse				
	Other				

Other Information

Do you have a will? *If yes, when was your will last updated?*

Do you have a trust? *If yes, what types of trusts do you have?*

Are there any health concerns in your family?

If yes, please specify:

Do you have any favorite charities?

If yes, please specify: